



Biennial Report
July 1, 2010 - June 30, 2012

From the Office of
Secretary of State Ross Miller

NEVADA



SECRETARY OF STATE
ROSS MILLER

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Territorial Seal of Nevada

Orion Clemens, President Lincoln's appointee as Secretary of Nevada Territory and brother of Samuel Clemens (later known as Mark Twain), presented a design for a territorial seal to the first Legislature in Carson City on October 7, 1861. The seal depicts a miner standing on a claim, leaning on a pick and holding the American flag. In the background, smoke trails to the right from the chimney of a five-stamp quartz mill.

It's believed the territorial seal was patterned after the Iowa state seal. Former state archivist Guy Rocha discovered that Orion Clemens lived and worked in Muscatine, Iowa, before moving to Nevada. Rocha believes the similarity of the two seals suggests that Clemens drew on the Iowa state seal as a model for Nevada's territorial seal.

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September 15, 2012

Dear Fellow Nevadans:

I am pleased to share with you the accomplishments of the Office of Secretary of State during fiscal years 2011 and 2012, the first half of my second term in office.

In the FY 2009/2010 biennial report, I reported on the progress my staff and I made during my first time in office. We used technology to become a more efficient office, made it easier for eligible Nevadans to register and vote, increase the number of businesses incorporating here, and ensured a strong participation rate in the 2010 Census.

As Nevada's chief elections officer, I and the Elections Division are continually improving our elections system to ensure it is transparent, secure, and accessible to all residents. We ushered a campaign finance reform package through the Legislature, which resulted in an electronic system that allows the public to easily and quickly track the movement of campaign dollars. Online voter registration launched in Clark County and expanded throughout the state, allowing eligible voters to register or update their profile information in less than five minutes.

The Securities Division continues to take aggressive steps toward investigating and prosecuting individuals and firms who target innocent victims with fraudulent investment schemes.

The Commercial Recordings Division continues to increase its annual revenue, up from \$127 million in FY 2011 to \$131 million in FY 2012, despite the continued lackluster economic environment. My office made significant progress toward establishing the Nevada Business Portal, our online one-stop shop for government transactions.

We continue to administer the Domestic Partnership Registry; the Living Will Lockbox; and notary appointments.

The Office of the Secretary of State proudly serves the many constituents of Nevada who use the office to incorporate and/or maintain good corporate standing; to check their voter registration status and information regarding election procedures; to seek an appointment as a notary; to register a securities offering or to become a licensed investment professional; to file a complaint regarding a fraudulent financial scheme, or to meet any number of other needs.

Sincerely,



ROSS MILLER
Secretary of State



Ross Miller was elected to the Office of Secretary of State in 2006.

He is the youngest person ever elected to the office in Nevada.

Office of Secretary of State of Nevada

The mission of the Office of Secretary of State is to effectively and efficiently serve the public by performing its statutory duties to ensure the integrity of elections, facilitate business filings, protect consumers against securities fraud, preserve public records, and to promote public awareness and education in these and related areas.

The goals of the Office of Secretary of State are to:

- Ensure the integrity of elections and proper disclosure by candidates and elected officials through the effective administration of the state's election laws and to advocate for change where needed;
- Encourage the development and diversification of the state's business community by providing innovative, expeditious and cost-effective services;
- Protect consumers from investment fraud through effective regulation of the securities industry, enforcement of the securities laws, and education of the public; and
- Maintain records and information filed with the office and to make that information easily accessible at a reasonable cost.

With the advent of statehood in 1864, the Nevada Office of the Secretary of State was established as part of the state's executive branch of government. As Nevada's third highest-ranking constitutional officer, the Secretary of State is elected to a four-year term and limited to two terms in office.

The Secretary of State is responsible for maintaining the official records of the acts of the Nevada Legislature and of the executive branches of state government, as prescribed by law. Along with duties established by Nevada Revised Statutes, the Secretary of State is a member of the State Board of Examiners, State Board of Prison Commissioners, Tahoe Regional Planning Agency, Executive Branch Audit Committee, Board of Economic Development and Chairman of the State Records Committee.

Summary of Constitutional and Statutory Duties

- ◆ Certifies all statewide candidates and ballot questions and reports and certifies primary and general election results.
- ◆ Supervises state and local elections, and enforces state and federal election laws
- ◆ Registers and files candidate contribution and expenditure reports.
- ◆ Registers corporations, limited partnerships, limited liability companies, limited liability partnerships, limited liability limited partnerships and business trusts.
- ◆ Registers trade names, trademarks, professional corporations and associations, and rights of publicity.
- ◆ Issues annual State Business License.
- ◆ Issues Video Service Provider Certificates of Authority and digital certificates.
- ◆ Records and Searches Uniform Commercial Code statements and documents.
- ◆ Appoints, trains, and regulates Notaries Public.
- ◆ Administers the Confidential Address Program for victims of domestic violence.
- ◆ Regulates the state's securities industry and enforces securities law.
- ◆ Licenses and regulates athletes' agents.
- ◆ Administers the Living Will Lockbox, a registry of advance directives for healthcare.
- ◆ Administers the Domestic Partnership Registry.
- ◆ Operates NVSilverFlume.gov, Nevada's Business Portal.
- ◆ Maintains a statewide Registry of Ministers.



State Seal of Nevada

One of the general duties of the Secretary of State, according to state statute is to "attest all the official acts and proceedings of the Governor, and affix the seal of the State, with proper attestations, to all commissions, pardons and other public instruments to which the signature of the Governor is required. A copy of these instruments must be filed in the Office of the Secretary of State.

The Second Nevada Legislature adopted provisions for a new state seal in 1866. The new design omitted the figure of the man with a flag, but retained many of the features of the 1861 territorial seal.

All but four of the Secretaries of State elected since Nevada became a state in 1964 served multiple terms. The first Nevada Secretary of State, Chauncey Noteware, served two terms. The longest serving Secretary of State was John Koozts, who served from 1947 - 1973.

By a vote of the people, term limits were imposed on the six statewide constitutional offices in 1996.

Executive Summary



State Capitol of Nevada In 1875

The Secretary of State's main office is in the Capitol in Carson City.

The building was completed in 1871 and has undergone four major renovations.

The iron fence around the Capitol grounds was erected in 1875 to keep out the livestock in the area.

The State Legislature moved out of the Capitol to a new building in 1971, making Nevada one of only three states in which the legislature is separate from the Capitol.

Today, the Capitol houses the offices of the Governor, Lieutenant Governor, Secretary of State, Treasurer, and Controller.



State Capitol Today

The Secretary of State's office is committed to transparency, security, and accessibility. The Election Division strives to achieve these qualities in all of its practices. With the 2012 general election nearing, the office is making every possible effort to ensure the integrity of Nevada's election system and to provide voter registration access to all eligible Nevadans. The "Elections Center" on the Secretary of State's website (www.nvsos.gov) and the dedicated election night website (www.silverstateelection.com) provides extensive information and resources to the public. These websites have been particularly important the past two years with the 2010 election, the 2011 special election, and the 2012 primary election.

The 2011 legislative session ushered in groundbreaking campaign finance reform. Now, all Contributions & Expenses (C&E) Reports and Financial Disclosure Statements (FDS) are filed electronically on the Secretary of State's campaign finance reporting system, Aurora.

Online voter registration launched in Clark County on August 31, 2010, and expanded to five more Nevada counties during FY 2012. Residents can register to vote online at www.registertovotenv.gov and update their address or party affiliation at nvsos.gov/votersearch.

The Securities Division continues to investigate fraudulent activities and pursue individuals flouting the law. During FY 2011 and 2012, the division filed criminal charges against 27 individuals and netted nearly \$49 million in revenue for the State of Nevada general fund. The division collected more than \$520,000 in restitution to victims of fraud.

Despite a slight decrease in the number of new entity filings from FY 2011 to FY 2012, annual revenue for the Commercial Recordings Division experienced a slight uptick from \$127 million to \$131 million. The division executed a regulation clarifying that home-based exemptions only applies to non-Title 7 entities such as sole proprietorships and general partnerships. Commercial Recordings continued to work closely with developers of the Nevada Business Portal, and by the end of FY 2012, the new website was nearly ready to launch. As well as services from the Secretary of State's office, the Nevada Business Portal also offers service from the Department of Taxation and the Department of Business and Industry; county and local services will be added in future phases. Soon, businesses will be able to conduct all government transactions on the portal.

The Information Technology (IT) Department of the Operations Division makes many of our endeavors possible. Online voter registration launched in Clark County in FY 2011 and expanded to four more Nevada counties in FY 2012. The IT department also created Aurora, the campaign finance disclosure system, on a very short time frame after the 2011 Legislature approved the electronic reporting system. IT worked closely with Google to institute the Voter Information Project (VIP), a polling location gadget that aims to make the voting process as easy as possible to encourage the public to get out and vote. IT continued to make improvements to the Election Night Reporting website, www.silverstateelection.com, and to the Secretary of State's main website, www.nvsos.gov, which has more than 155,000 unique visitors each month.

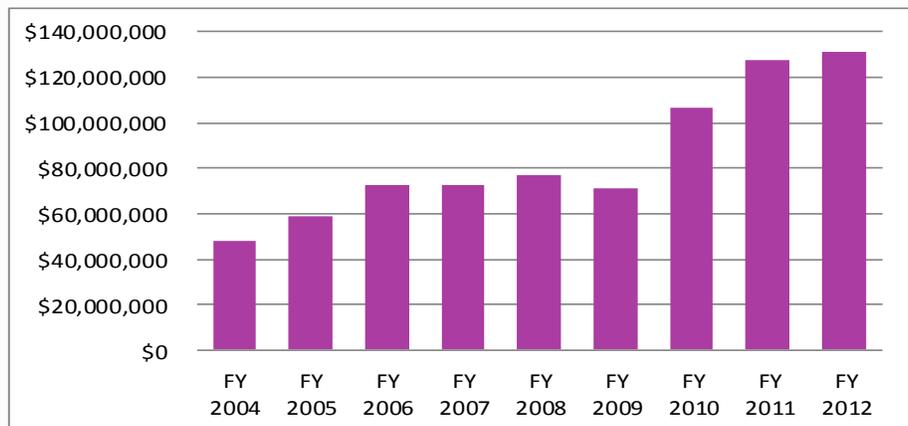
Commercial Recordings

The Commercial Recordings Division processes and files the organizational and amendatory documents of entities organized under the laws of the State of Nevada. These entities include for-profit and nonprofit corporations, limited liability companies, limited partnerships, limited liability partnerships, limited liability limited partnerships, business trusts, and professional corporations and associations. The division reviews, files and processes: (1) annual state business license; (2) trademarks, trade names, service marks, and rights of publicity; and (3) Uniform Commercial Code financing statements, changes, and lien searches, as well as federal tax liens and utility filings.

Revenue

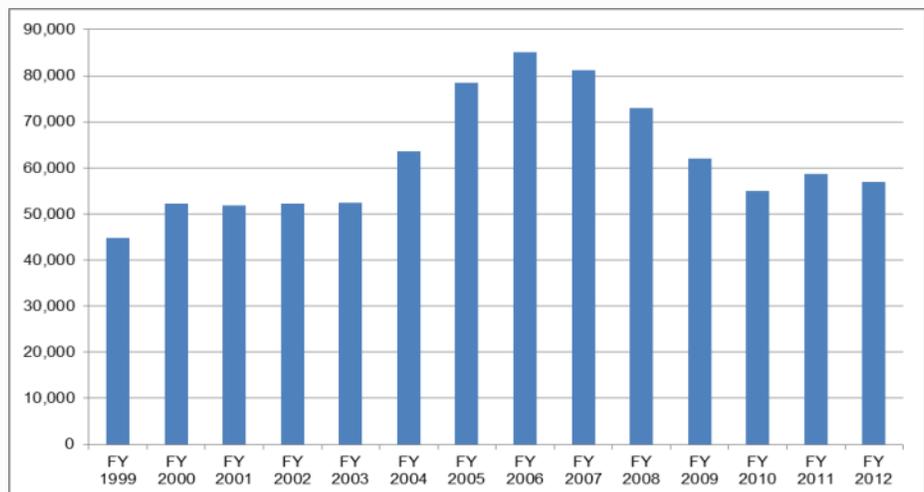
The Commercial Recordings Division generated revenue of approximately \$131 million during FY 12, which is a 3% increase from the \$127 million revenue generated during FY 11. The revenue increase is attributed to compliance efforts made by the Secretary of State's office in regard to the home-based business exemption. UCC receipts totaled more than \$1.8 million during FY 12.

Revenue: Fiscal Years 2004-2012



FY 12 filing statistics reflect a small decrease when compared to FY 11. New entity filings decreased by 3% during FY 12, with 56,982 new entity filings processed versus 58,767 during FY 11. In FY 12, 285,457 initial, amended and annual lists were filed as compared to 298,098 in FY 11. As of June 30, 2012, more than 282,000 business entities were on file and in current standing with the Secretary of State's office.

New Business Filings: Fiscal Years 1999-2012



The online Business Center at www.nvsos.gov is designed to make it easier to navigate for individuals starting a new business or trying to manage or expand an existing business.

Businesses can file these documents online:

- ✓ New or renewed state business licenses
- ✓ Initial, amended, or annual lists of officers
- ✓ Online articles of incorporation
- ✓ Certificates of Good Standing

Nevada State Business License

Effective August 6, 2011, any business applying for a license and claiming the exemption is required to provide specific information that will verify the business' location as a residence and net earnings of less than \$27,000 per year. An April 2011 executive branch audit of business license filings in the Secretary of State's office found a significant number of businesses falsely claimed the exemption and concluded the state would experience nearly \$11 million in lost revenue in the next fiscal year.

On March 8, 2012, the Legislative Commission's Subcommittee to Review Regulations approved Regulation 080-11, which states that entities such as corporations, LLCs, etc. formed pursuant to Title 7 of the Nevada Revised Statutes are ineligible for the state business license home-based business exemption. The regulation clarifies that the home-based business exemption applies only to natural persons, i.e. sole proprietors or general partnerships who meet the requirements of the exemption.

Nevada is one of the top 10 filing jurisdictions and number two per-capita in North America. As such, the Nevada Secretary of State's office is viewed as a leader in business filings. Nevada has had a presence on the governing board of the International Association of Commercial Administrators (IACA) for the past 10 years.

NEW SERVICES

During FY 2011, the Secretary of State implemented several new services. New companies were able to file their Articles of Incorporation or Articles of Organization online. Upon successful completion of online filing, companies receive immediate confirmation and a copy of their new documents, whose public information is made immediately available on the Secretary of State's website. All new corporations and LLCs can also file their initial list of officers or members and apply for and receive their annual business license in the same online visit. All filing fees remain the same.

Other online filing services available to businesses include:

- Nevada State Business License
- Initial, Amended and Annual Lists of Officers/Members
- Name Reservations
- Certificates of Good Standing
- Uniform Commercial Code statements, amendments and copies

Nevada Business Portal

With SilverFlume, Nevada's Business Portal, companies can now file for state business licenses, apply for sales & use tax permits, and conduct other business-related services at www.NVSilverFlume.gov. The first-of-its-kind, SilverFlume streamlines filing and licensing processes to improve the operating environment for companies conducting business in Nevada.

SilverFlume provides free web-based reporting to multiple agencies, including the Governor's Office of Economic Development, to view baseline data for new businesses to support registration, licensing, and economic development purposes. The Common Business Registration report has the capability to streamline manual process steps across state and local agencies for the business customer, even before any full technical system-to-system integration is implemented.

SilverFlume was released to the general public in September 2012 and offers multiple business-related transactions, including the following:

- Register a new business
- File articles of incorporation
- File list of officers
- File annual or amended list
- Obtain/renew state business license
- Apply for sales & use tax permit/satisfy bond requirements and receive eClearance Letter
- Complete D-25 Workers' Compensation eAffirmation of Compliance
- Access to Secretary of State's Office and Department of Taxation functions



Passage of Assembly Bill 564 during the 2011 Legislature introduced Digital Corporate Governance (DCG), a corporate environment where entrepreneurs and collaborators come together virtually to conduct business and enjoy the privacy and other benefits of a traditional corporation, but with no paper filings, physical headquarters, or boardroom meetings.

The state modified cutting-edge software developed by Harvard's Berkman Center for Internet & Society, with support from the Ewing Marion Kauffman Foundation, to allow companies to prepare LLC documents. The Digital Operating Agreement provides users with an electronic template for basic operating agreements and by-laws that can be adapted and filed digitally. Officers and

directors can provide written consent to adopt operating agreements and by-laws in an electronic format. Digital Operating Agreement software allows limited-liability companies to conduct corporate communication through the most recent technology available and for certain non-profit board of directors to conduct meetings electronically through simultaneous or sequential communication channels such as instant messaging. Harvard and the Kaufmann Foundation have no responsibility or liability for the software.

Future phases of SilverFlume will focus on continuing to simplify the business customer's registration steps and provide common business data across agency silos to ensure consistent revenue capture.



Elections

1,078,951

Number of Active
Registered Voters in
Nevada
as of June 30, 2012

41%

Democrats

37%

Republicans

16%

Non-Partisan

64.64%

Voter turnout in
2010 General Election

Nevada Caucuses

Nevada has used the caucus process to choose Presidential candidates for nearly 60 years, but also experimented with "Presidential Preference Primary" elections in 1976 and 1980. In 1996, the two major parties were given the option of selecting their Presidential nominee by a primary vote at the state's expense; the state GOP chose that option.

The experiment failed to produce the increased voter interest that had been hoped for, so legislators let the option die.

The Nevada caucuses drew far more attention than usual in 2008 because they were moved to an earlier date on the election calendar and so were considered much more important in generating momentum for the leading candidates.

Nevada remains one of just 13 states that choose their presidential candidates by the caucus process rather than a primary election.

The Secretary of State is the Chief Elections Officer for the State of Nevada. Through the Elections Division, the Secretary of State assists in the administration of the State's elections, enforces state and federal election laws, and provides technical information.

The Elections Division oversees candidate filings, prepares forms and documents, recommends legislation and regulations relevant to the electoral process, responds to election-related complaints, and provides information to the public regarding candidates and elections. The division publishes informational election materials that are available to the public at no charge. These publications include: ***Election Information Guide, Initiative and Referendum Guide, Minor Party Qualification Guide, Recall Information Guide, Independent Candidate Guide, Title 24 Election Laws and Election Regulations.***

Monthly voter registration statistics are published on www.nvsos.gov.

2011 LEGISLATIVE SESSION

During the 2011 Legislative Session, the Secretary of State's office was instrumental in the passage of ground breaking campaign finance reform – Assembly Bill (AB) 452, which requires all Contributions and Expenses Reports (C&E Reports) and Financial Disclosure Statements (FDS) to be filed electronically. Electronic filing in an enhanced searchable database provides the public with a level of disclosure and transparency never before seen in Nevada.

AB 452 also changes reporting deadlines so that additional and more relevant reports will be available to the public leading up to an election. Reports will now be due four days before the beginning of early voting and four days before election day for both the Primary and the General Elections. Previously, reports were only filed seven days before either election.

The Secretary of State built a user-friendly database, Aurora, that allows the public to search this electronically captured campaign finance data by various criteria including contributions and expenditures (by name, address, amount, date, type), parties, office, and political groups.

On January 1, 2012, the Secretary of State became the sole filing office for every race, regardless of the office the candidate is seeking or where the declaration of candidacy is filed. The public is able to easily obtain campaign finance information without having to go to multiple locations for information related to municipal and county elections and candidates.

The Secretary of State also passed two of his own bills, AB 81 and 82 that improve the administration of elections and campaign finance. These comprehensive elections bills address a number of aspects of election law and administration:

- Clarifies that a person may not make a contribution to a PAC with the knowledge and intent that the PAC will contribute that money to a specific candidate which, in combination with the total contributions already made by the person for the same election, would violate the limitations on contributions.

- All PACS, parties, committees, and individuals who spend more than \$100 on a public communication such as a radio or TV ad, billboard, or mass mailing must identify in the communication who paid for it.
- If a PAC fails to register with the Secretary of State prior to engaging in political activity in the state, the Secretary of State may impose a civil penalty for each separate ad or activity.
- A foreign national may not make contributions to Nevada campaigns, and soliciting, accepting, or receiving any campaign contributions from foreign nationals will be illegal under state law, as well as federal law.
- Expanding online voter registration to all counties will be conducted in such a way to ensure security and consistency across the state.
- A voter registration agency may not knowingly employ a person to register voters if the person has been convicted of a felony involving theft or fraud.
- A county clerk or registrar may not knowingly appoint a person as a field registrar if the person has been convicted of a felony involving theft or fraud.
- Individual minor party candidates can be placed on the ballot only if the party obtains ballot access as already required by law.
- Acts of tampering or interfering with or attempting to tamper or interfere with a mechanical voting system or computer program used to count ballots is punishable as a category B felony.
- Requirements to register as a Ballot Advocacy Group (BAG) to support or oppose a ballot question are deleted from statute; individuals and organizations must register as a PAC to engage in political activity to support or oppose a ballot question.
- The reporting threshold for such groups is lowered from \$10,000 in contributions and/or expenditures to \$1,000 during any reporting period.
- Early Voting locations to be open on observed Nevada Day.
- A 2009 regulation prohibiting county clerks and registrars from releasing results of statewide and multi-county races until the Secretary of State determines that all polling places are closed and all votes have been cast is codified.

With more timely data in a searchable user-friendly format, Nevadans now know who is funding the candidates' campaigns prior to early voting and election day. The Secretary of State ushered in a new era of transparency in Nevada's electoral process that ultimately strengthens the public's faith in the electoral process.

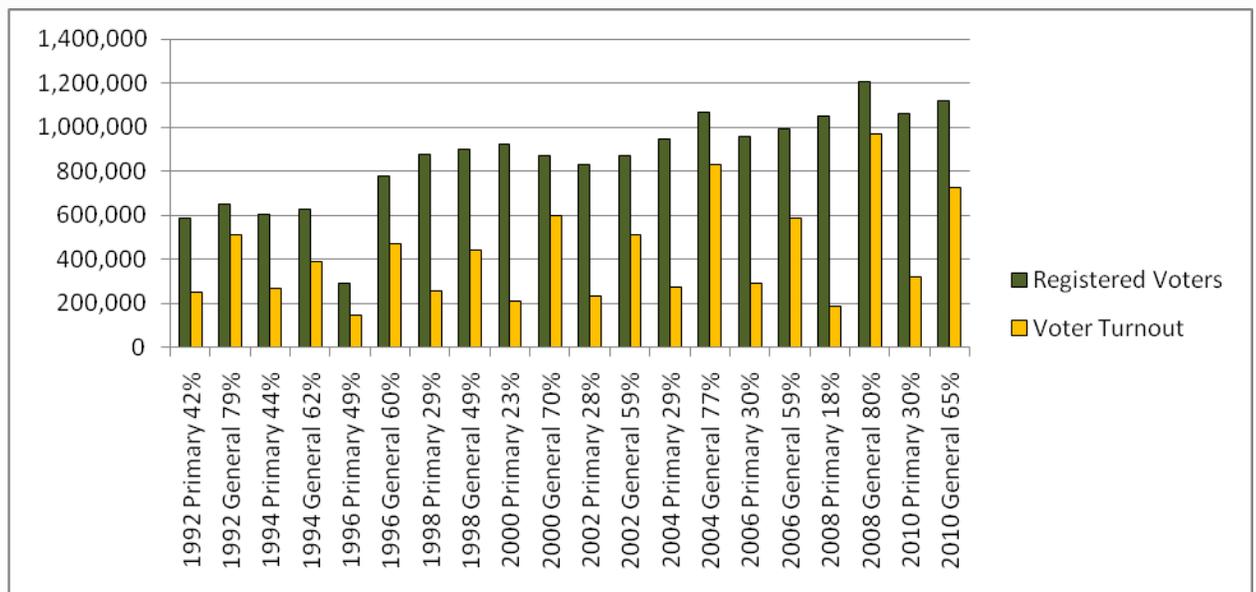
2010 GENERAL ELECTION

The 2010 General Election once again brought international attention to elections in the Silver State. U.S. Senate Majority Leader Harry Reid was on the ballot defending his senate seat against Republican challenger Sharron Angle. As the second most expensive race nationwide during the 2010 election session, the combined expenditures for both campaigns topped \$54 million dollars. Ultimately, Senator Reid won by 41,424 votes.

Nevadans also elected Republican Brian Sandoval as governor during this election. The popularity and interest in the 2010 General Election may be best exemplified by the traffic the Secretary of State's office Election Night Reporting website received. Activity at www.silverstate2010.com generated more than 34 million hits, saturating the state's internet connectivity for the first time ever. Once again, Nevada's early voting option continued to be the most popular choice for Nevadans to cast their ballots in 2010.

Elections *continued*

The following graph demonstrates the voter turnout of the 2010 General Election compared to previous elections in Nevada:



2011 SPECIAL ELECTION

For the first time in Nevada's history, the Secretary of State's office had to administer a special election for U.S. House of Representative Congressional District 2. In April 2011, Governor Sandoval named U.S. Congressman Dean Heller to fill the vacant seat of resigned Senator John Ensign, which in turned created a vacancy in Congressional District 2 (CD2). Governor Sandoval called for the Special Election to be held on September 13, 2011. CD 2 represents people in all of Nevada's counties.

Competing interpretations of how major parties nominate their candidate for the Special Election ballot from a never-before-used state statute resulted in litigation that reached the Nevada Supreme Court. Ultimately, the State's highest court ruled that major party candidates on the ballot should be nominated by the state party central committees.

The final candidates on the ballot were Mark Amodei (REP), Kate Marshall (DEM), Helmuth Lehmann (IND), and Timothy Fasano (IAP). After two weeks of early voting, absentee voting, and election day voting, Mark Amodei was elected Nevada's newest Congressman, receiving 57.92% of the vote. Kate Marshall finished second with 36.7% of the vote.



Secretary Miller conducts the 2011 Canvass of Votes with Nevada State Supreme Court justices.

Elections continued

THE ELECTION INTEGRITY TASK FORCE

The Election Integrity Task Force (EITF) is a multi-jurisdictional task force comprised of the Secretary of State's Office, the Nevada Attorney General's Office, Federal Bureau of Investigation, and the United States' Attorney's Office. EITF investigates complaints regarding questionable voter registration practices, potential voter fraud, and the enforcement of laws regarding voter intimidation. Voters can submit complaints in writing to the Elections Division, and they will be forwarded to the task force for further investigation.



During the 2010 General Election, the task force responded to allegations of voting machine irregularities; investigations concluded that no tampering of machines had occurred. Any trouble reported was due to operator error and uncoordinated machine screens, and it was found that every voter was given the opportunity to cast a vote for the candidate of their choice.

HELP AMERICA VOTE ACT

The *Help America Vote Act of 2002* (HAVA) was enacted by Congress and signed into law on October 29, 2002. HAVA was a response to the irregularities in voting systems and processes unveiled during the 2000 Presidential Election. To address these irregularities, HAVA provided federal funding to the states to implement a statewide voter registration system, replace punch card voting machines, improve voter education and poll worker training, require provisional ballots, and require at least one voting machine per polling place be available to voters with disabilities. To be eligible to receive HAVA funding, each state is required to submit a state plan to the federal government that serves as a blueprint for that state in meeting the ambitious timelines and requirements contained within the act.

Since 2004, Nevada has received approximately \$23 million in federal funds from HAVA. For more information on HAVA and the Nevada State Plan, visit the Election Center of the Secretary of State's website, www.nvsos.gov. At the end of FY 2012, Nevada's HAVA balance is \$6.5 million.

MILITARY AND OVERSEAS VOTERS

The 2010 General Election was the first election in which new provisions of the Military and Overseas Voters Act, or MOVE Act, was required. Requiring electronic transmission and receipt options that parallel the 2009 enacted Assembly Bill 41, the MOVE Act also required 45-day transmission of absent ballots to overseas voters. Electronic transmission methods and increased delivery time had a positive impact on Nevada voters. As noted in the [2010 Uniformed and Overseas Citizens Absentee Voting Act \(UOCAVA\)](#) report, Nevada posted a 98.7% count rate of UOCAVA ballots that were returned for counting, ranking the Silver State among the top 10 in the nation. Additionally, AB 100, otherwise known as the Uniform Military and Overseas Absentee Voters Act, passed during the 2011 legislative session further enhanced absentee administration for military, overseas voters, and their families.

STATEWIDE VOTER REGISTRATION SYSTEM

HAVA mandates all states to have a single, uniform, official, centralized, interactive computerized statewide voter registration list to serve as the single system for storing and managing the official list of registered voters throughout the state. Nevada's statewide voter registration system (NevVoter) is maintained by the Secretary of State's office, and became operational in the spring of 2006.

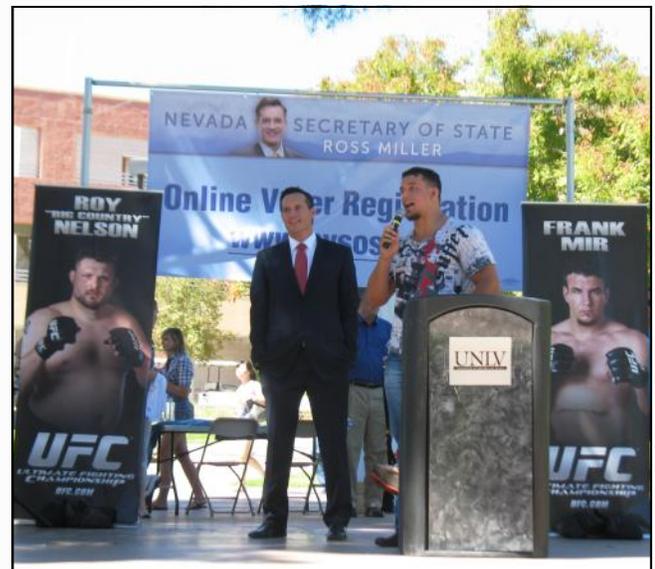
Elections *continued*

Eligible citizens register to vote with their respective County Clerk or Registrar of Voters, and the County Clerks and Registrars of Voters upload their voter registration files to the system nightly. The NevVoter system electronically sends the voter registration records for verification against the Department of Motor Vehicles' and the Department of Vital Statistics' databases to identify those persons who may potentially be ineligible to vote according to federal and state law. Upon successful verification of the voter, the person is then added to the eligible voter list in the NevVoter system.

	Active Registered Voters	Democrats	Republicans	Nonpartisans
2011	1,122,172	42%	36%	16%
2012	1,078,951	41%	37%	16%

NEVADA ONLINE VOTER REGISTRATION “NOVA”

In September of 2010, Clark County, Nevada became the ninth jurisdiction in the country to offer online voter registration. New voters in Clark County with a driver's license or DMV issued identification card now have the ability to register completely online. The registrant's information is verified immediately with the DMV, and signature is presented electronically for verification. Once the registrant has successfully registered, local county election officials will mail the new registrant their voter registration card. The Secretary of State's office, in coordination with local election officials, planned to expand NOVA to Nevada's other sixteen counties during 2012. At the end of FY 2012, NOVA had expanded to four other counties – Churchill, Elko, Lyon, and Humboldt – and accepted 9,363 online voter registration applications.



The Ultimate Fighting Championship assisted the Secretary of State's office with the online voter registration press launch in August 2010.

2010 MOCK ELECTION

In 2009, the Secretary of State and the Nevada Department of Education were the only statewide offices to have received a national grant from the U.S. Election Assistance Commission (“EAC”), which received a total of 47 applications from across the country. The *Your Vote, Your Responsibility* Mock Election project was presented as a two-step program in which high schools in Nevada conducted a Civic Awareness Expo (“CAE”) prior to administering a mock election. The Civic Awareness Expo was designed to be a “science” fair for politics. Students created presentations, invited local politicians and groups to speak to the student body, and even turned their classrooms into mock campaign headquarters. After students were better educated following the CAE, they took that knowledge to the polls on Mock Election Day, October 26.

The voting portion of the program was designed to be as close as possible to a real election, complete with voter registration requirements, roster books, and polling locations. More than 11,000 students from 34 schools across Nevada cast their vote in the Mock Election. Most schools voted via the Youth Leadership Initiative Mock Election website, which recognized Nevada as one of top voting states. Students voted for all federal and statewide offices, mirroring results in the official election to U.S. Senator Harry Reid, House of Representative members Shelley Berkley and Dean Heller, and Secretary of State Ross Miller.

Securities

The Securities Division is responsible for administering the state's securities law. The Division's mission is to protect Nevada investors from securities fraud by licensing investment professionals, registering securities offerings, enforcing the state's securities law, and educating the public through community forums, presentations and the distribution of publications.

As of June 30, 2012, the Division's staff totaled 17 full-time employees including 7 criminal investigators and 4 compliance audit investigators. In addition to performing securities investigations, the Division's criminal investigators also conduct corporate filing and election fraud related investigations in their capacity as sworn peace officers.

The Division recorded annual revenue of \$23,882,484.15 in fiscal year 2011 and \$24,534,996.08 in fiscal year 2012. These revenues were derived from licensing, registration, inspection and miscellaneous fees, and do not include monies paid as civil fines and penalties which are discussed below. Revenue generated from fees and inspections is deposited to the State General Fund.

LICENSING

Nevada law requires that athletes' agents, transfer agents and any person engaging in the offer or sale of securities, or who provides investment advice for compensation, to be licensed with the Nevada Securities Division. As of June 28, 2012, individuals conducting business as an investment advisor with more than \$100 million in assets under management must register with the Securities and Exchange Commission (SEC) as a Federal Covered Adviser. Individuals conducting business in the state of Nevada with less than \$100 million in assets under management must register with the Division. A representative of a Federal Covered Adviser with a place of business in Nevada must be licensed by the Division or exempt from licensing.

REGISTRATION

Companies seeking to offer securities for sale in the State of Nevada must register their offerings with the Division, or comply with an exemption from registration in the Securities Act.

Licensing and Registration Statistics: Fiscal Years 2002-2012

FISCAL YEAR END	2007	2008	2009	2010	2011	2012
Broker-Dealers	2,083	2,124	1,922	1,903	1,906	1,851
Total Investment Advisers	1,228	1,375	1,244	1,274	1,364	1,405
Sales Representatives	100,919	104,643	108,828	110,195	139,240	144,037
Investment Adviser Reps	2,790	2,942	3,141	3,128	4,235	4,254
Athletes' Agents	15	13	7	10	13	10
Agents of Issuer	111	99	82	67	129	113
Branch Offices	1,575	1,670	1,370	1,344	1,367	1,240
Registrations*	5,029	5,161	99	87	92	120
Exemption Filings*	4,274	4,544	9,035	8,782	9,386	9,573

*Note: FY 2007 and 2008 reported notice filings combined with registrations. Since notice filings are actually exemptions that should be in the exemption filings category, commencing in FY 2009 notice filings are categorized as exemption filings.

Top Ten Investor Traps

Products

Exchange-Traded Funds

Foreign Exchange Trading Schemes

Gold & Precious Metals

"Green" Schemes

Life Settlement Investments

Oil & Gas Schemes

Practices

Affinity Fraud

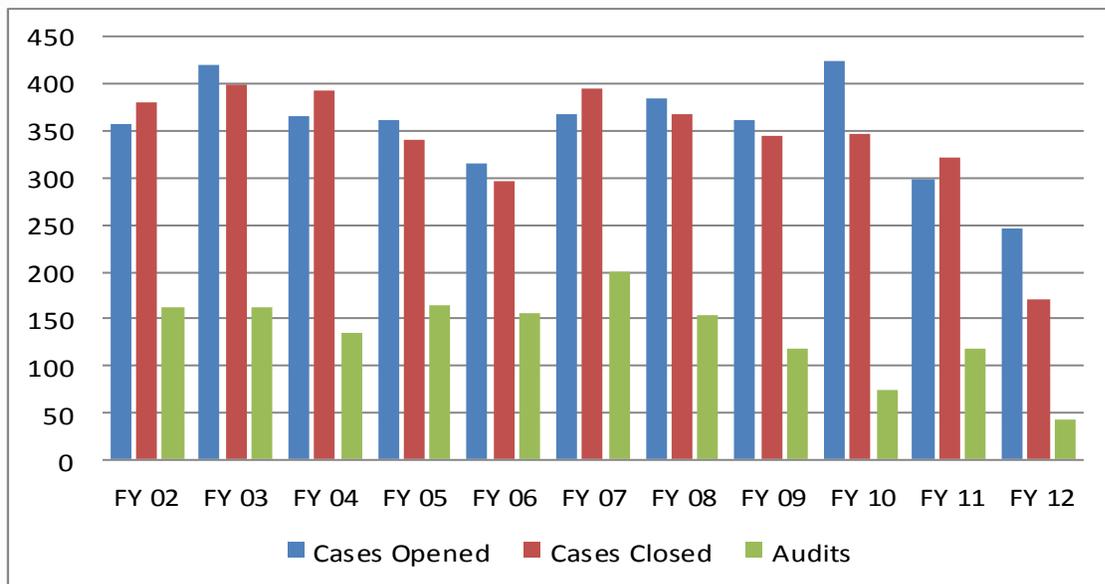
Undisclosed Conflicts of Interest

"Private" or "Special" Deals

"Off the books" deals

ENFORCEMENT

Cases and Investigations: Fiscal Years 2002-2012



Enforcement Statistics: Fiscal Years 2011-2012

	FY 2011	FY 2012
Active cases at the start of FY	266	245
Cases opened	298	246
Cases pending at the end of FY	243	310
Criminal convictions	7	7
Criminal charges	20	33
Restitution ordered to be paid to victims	\$970,505.66	\$825,522.33
Restitution collected	\$53,635.46	\$468,000
License revocations / Orders denying licensing / Suspension of licensing	3	5
Consent orders	46	22
Cease and desist orders	10	2
Civil penalties collected for investors	\$2,882,639.79	\$288,302.97
Restitution recovered	\$277,940,225.00	\$1,120,243.76
Number of inspections	119	42
Inspection fees collected and regulatory investigation costs recovered	\$63,117	\$55,630.00
Annual revenue	\$24,041,573.00	\$24,534,996.08

Securities *continued*

The Securities Division conducts routine and for-cause periodic inspections of Nevada's broker-dealers and investment advisors in order to ensure compliance with the statutory requirements for conducting a securities business in the state of Nevada. Division investigators also review the securities firms' procedures for compliance with SEC rules and the FINRA Conduct Rules.

In order to ensure the Division employees are prepared in the performance of their duties, investigators and other staff members participated in various training programs offered by the National Criminal Information Center (NCIC), the North American Securities Administrators Association, and other law enforcement agencies.

ATHLETES' AGENTS

The Nevada State Legislature adopted the Uniform Athlete's Agents Act during the 2001 legislative session. The Act defines an Athlete's Agent as anyone who enters into a contract of agency with a student athlete, or directly or indirectly recruits or solicits a student to enter into such a contract. At the close of FY 2011, 13 agents were registered. During FY 2012, 10 athletes' agents and one (1) transfer agents were licensed or renewed.

INVESTOR EDUCATION AND PUBLIC OUTREACH

The Secretary of State's Securities Division funds investor education programs and materials through fines and penalties collected against individuals and firms found to have violated securities laws. Staff made several community presentations concerning the detection and avoidance of fraudulent investment schemes. Additionally, the Division publishes several informative brochures and other materials that are available on the agency's website (nvsos.gov), or by calling the Division at 1-800-758-6440 or 1-702-486-2440.

Working with resources provided by the Investor Protection Trust (IPT), the Secretary of State distributes a series of seven booklets covering various aspects of investor education, as well as a full curriculum with unit and lesson plans titled "The Basics of Savings" which is distributed to high schools throughout the state.

Through a partnership with Visa, the Secretary of State's office distributes free copies of interactive money management video games "Financial Football" and "Financial Soccer" to every school district in Nevada who requests them.



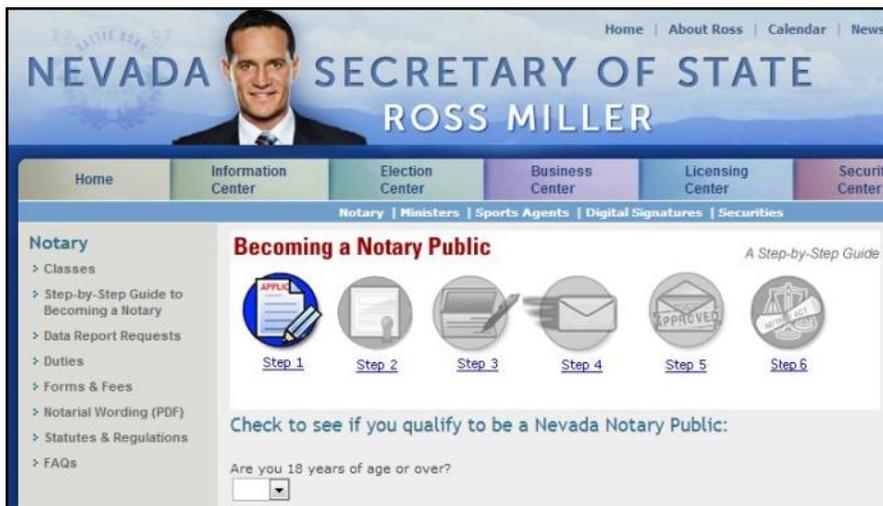
Secretary Miller plays a game of "Financial Football" with a student at Walter Bracken Elementary School.

Notary

Did You Know...?

The State of Nevada sets the fees notaries can charge their clients for services. Notaries who charge fees must inform clients of the cost of the service, which is \$2.50 or \$5.00 depending on the type of document.

The Notary Division offers regularly monthly scheduled training classes statewide for Nevada's Notaries Public and individuals seeking notary appointments. The classes are also offered to any business with 50 or more Notaries Public on staff that wishes to arrange an in-house training at their place of business. More than 3,630 people participated in the training program and 7,111 individuals were appointed notaries in FY 2011. More than 4,120 people participated in the training program and 7,354 individuals were appointed as notaries in FY 2012. More improvements are being made to the instructional program and now include an interactive work section that allows Notaries Public to view examples of commonly notarized documents and to practice proper notarization techniques under the supervision of Notary Division staff.



Information on how to become a notary of the public is available in the Licensing Center at www.nvsos.gov.

APOSTILLES

The Notary Division is also responsible for providing authentication of notary signatures, known as apostilles or certifications, which are typically used to authenticate documents to be presented in foreign countries. Apostilles and certifications can only be issued by the Secretary of State's office. The numbers of apostilles issued annually by the Notary Division were 15,064 in FY 2011 and 13,939 in FY 2012.

NOTARY ENFORCEMENT & FINES

By law, the Secretary of State's office is charged with imposing fines for violations of NRS Chapter 240. The average fine for a notary who does not follow correct statutory procedures is \$200. Infractions such as failure to maintain a notary journal, a legal requirement since 1864, or notarizing the signature of a document signer who does not appear in-person are both subject to maximum fines of \$2,000. In FY 2011, fines collected by the Notary Division totaled \$53,487. In FY 2012, fines collected by the Notary Division totaled \$63,469.

MINISTERS DATABASE

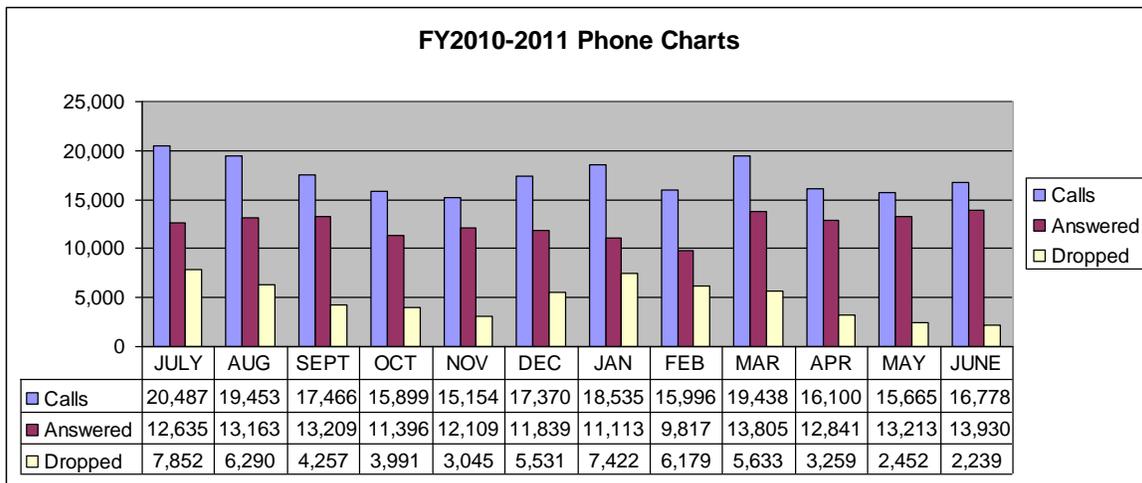
The Secretary of State's office maintains a statewide database of ministers appointed by county clerks. County clerks can track ministers licensed not only in their respective county but also view ministers licensed in other counties. The database also provides a public search function so citizens can locate a licensed minister or verify that a person is a licensed minister.

Customer Service

The Customer Service Division provides assistance to the general public, professional businesses and other government agencies in a variety of forms including, in person, by telephone, email or written requests from both the Carson City and Las Vegas offices.

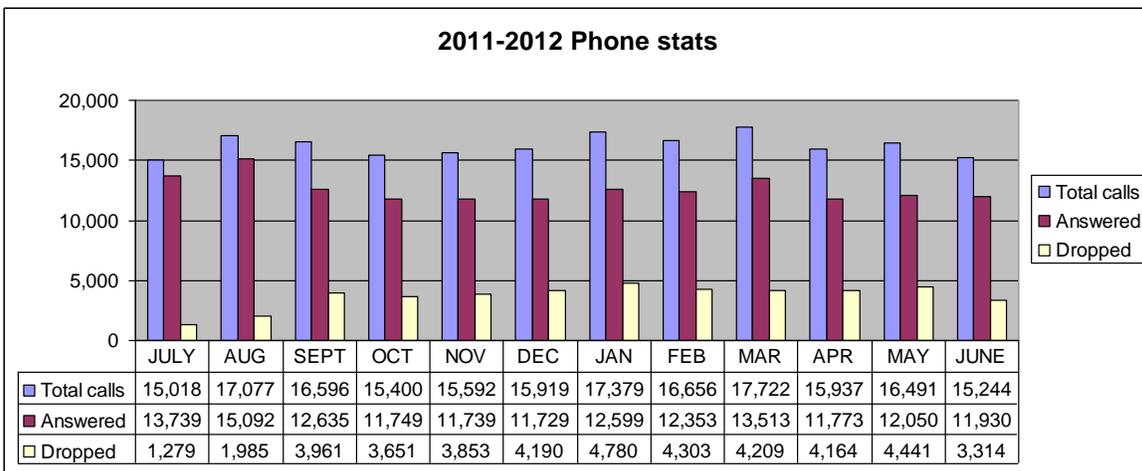
The division's 11 representatives are trained to assist customers with information in a wide variety of areas, including navigating our website, filing entities, fees and obtaining forms, answering questions with general information and responding to complex inquiries and concerns. The division also answers general questions about the Notary and Election Divisions or directs customers to the appropriate division within the agency. Although customer service representatives are prohibited by law to give legal advice, staff is trained to direct customers to specific Nevada Revised Statutes that may address their issues.

Staff answers about 750 calls and 100 email inquiries and assists an average of 25 walk-in customers on a daily basis. The Customer Service Division strives to give the best possible service to our customers in a professional, courteous and knowledgeable manner.



Total Calls:
208,341
Total Calls
Answered:
149,070

* Dropped calls are terminated by the caller



Total Calls:
195,031
Total Calls
Answered:
150,901

* Dropped calls are terminated by the caller

Living Will Lockbox

The Living Will Lockbox is a Registry of Advance Directives for Healthcare established as a free service to all Nevadans by the 2007 Nevada State Legislature. Using the program, individuals can file certain directives including living wills, powers of attorney, and “do not resuscitate” (DNR) orders in a secure, online virtual lock box. Designated health care professionals and family members can access the Lockbox when medical care decisions must be made.

The program began March 25, 2008, and as of June 30, 2011, the Living Will Lockbox grew to include 4,753 registrants and 45 healthcare providers. In FY 2012, the Living Will Lockbox grew to 5,500 registrants and 50 healthcare providers. The registered authorized healthcare providers using the registry include hospitals, hospices, and physicians throughout Nevada. Marketing and outreach efforts are supported by grant funding and private donations.

The Secretary of State addressed a variety of groups during the biennium to encourage participation in the program. Audiences included medical and support staff at Reno’s Renown Hospital, the participants in the Retired Senior Volunteer Program, and members of the Alliance for Retired Americans.

Further information and forms for this program can be found at www.LivingWillLockbox.com.

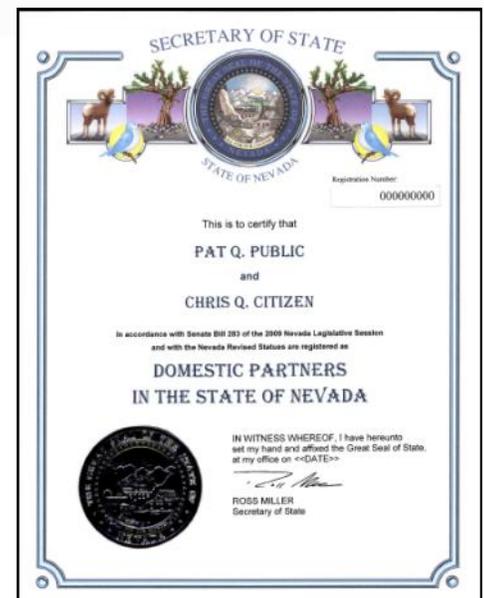


Domestic Partnership

Domestic Partnerships, established in the 75th Session of the Nevada State Legislature as Senate Bill 283, are civil contracts which grant the same rights, protections, benefits, responsibilities, obligations and duties as any other civil contract. The Secretary of State manages the Domestic Partnership filings and the statewide Domestic Partnership registry.

As of June 30, 2011 the Domestic Partnership program had 2,785 registered partners and generated \$50,984 during FY 2011. As of June 30, 2012, the Domestic Partnership program had 3,790 registered partners and generated \$60,371 during FY 2012.

Individuals wishing to enter into a domestic partnership in Nevada must fill out a one-page form, have their signatures notarized, and submit it with a \$50 registration fee. A ceremonial certificate is available for an additional fee.



Operations

The Operations Division, overseen by the Deputy Secretary of State for Operations, is responsible for accounting, budgeting, agency personnel functions, information technology (IT), purchasing, agency contracts and various special projects.

ACCOUNTING

Key functions of the Accounting office include management of the agency's \$20 million biannual budget, more than \$158 million annual revenue generation and monthly revenue analysis and forecasting. Additionally, accounting staff process daily bank deposits, manage the Secretary of State's internal controls and oversee the nearly 470 trust accounts, and works closely with the Legislative Counsel Bureau and the Department of Administration's Budget office to project and monitor general fund revenues and satisfy various fiscal and revenue requests. During this report cycle, economic conditions gradually improved, creating a slight increase in state revenue generation. Overall, a 1.4% increase in revenues was realized in FY 2012 over FY 2011.

INFORMATION AND TECHNOLOGY (IT)

The Secretary of State's Information Technology (IT) team is comprised of 16 full-time professionals including a Master IT Professional developer in the State system. By developing tools that facilitate business internally and for customers, SOS IT is a critical backbone to the office moving more toward offering online services, internal efficiencies, paperless solutions and streamlining workflow.

Under Secretary Miller's leadership, the office has made service and office automation a priority. Improvements include modifications to the Secretary of State website design and functionality. Business entities may be searched from the homepage and users see current announcements and public notices as well as other useful and legislatively-directed enhancements and features.

With more than 155,000 unique visitors every month, www.nvsos.gov offers a variety of electronic services and public and business-related information. This key tool has allowed Nevada business owners and their representatives, international customers, political candidates and observers, and the general public to access, at any time, information and business functions provided by the Secretary of State's office. The electronic Secretary of State (e-SOS) application continues to play a critical role in Commercial Recordings filing services and generated revenue in the amount of \$131 million during FY 2012.

The IT Division develops applications, such as the projects mentioned below, to assist with services and operations, and provides helpdesk support to all office employees.

Ongoing maintenance of existing Web applications

Business Entity Search – As well as maintaining the Business Entity Search feature, the IT team also created the Secretary of State's first smartphone application. App users can search for results through various fields and access information on more than 300,000 entities on file with the Secretary of State's office. The search provides up-to-date information on entity information, registered agents, and officer names. The Business Entity Search app is available to iPhone, iPad, and iPod Touch users.

SilverStateElection.com – The Secretary of State's Election Night Reporting website, www.SilverStateElection.com, as previously discussed in the Elections Division portion of this report.



Operations *continued*

Aurora Campaign Finance Disclosure

To assist candidates in complying with the mandatory online filing of campaign contribution and expense reports and financial disclosure statements, the IT division developed Aurora in FY 2012. Designed to be a user-friendly, searchable database for all campaign and public officer finance disclosures, Aurora provides a new level of insight into who is funding campaigns, and how those funds are being spent. Users can search and sort all filed Contributions & Expense (C&E) Reports and Financial Disclosure Statements (FDS) by individual candidates; political races; groups; contributions by amount, date range and similar addresses; contributors; and expenditure recipients.



The screenshot shows the Aurora Campaign Finance Disclosure search interface. At the top, there is a logo for Aurora Campaign Finance Disclosure. Below the logo, there are four tabs: Individual Search, Group Search, Contribution Search, and Expenditure Search. The Individual Search tab is selected. The main content area contains a search form with the following fields: Individual Name (with First and Last sub-fields), Party (a dropdown menu), Office (a dropdown menu), Jurisdiction (a dropdown menu), and Election Year (a dropdown menu). There is a 'Search' button at the bottom right of the form. A 'Help & Tips' link is located at the bottom right of the page.

Online Voter Registration System

First launched in Clark County in 2010, the Online Voter Registration System allows all eligible voters in Nevada to register online at www.registertovotenv.gov. The system expanded to the remaining 16 Nevada counties in 2012 and now allows all voters to have access to their information. To utilize the system, citizens need to be at least 18 years old and have a Nevada Department of Motor Vehicles (DMV) driver's license or DMV-issued identification card. The application process pulls the signature on file with the DMV and applies it to the voter's registration record, which is used for identification in all future elections. Registered voters can also update their address or change their party affiliation at nvsos.gov/votersearch. The online system was federally funded by the Help America Vote Act (HAVA).



The screenshot shows the Nevada Secretary of State's online voter registration system. The header includes the Nevada Secretary of State's name, Ross Miller, and a search bar. Below the header, there are several navigation tabs: Home, Information Center, Election Center, Business Center, Licensing Center, Securities Center, and Online Services. The Election Center tab is selected. The main content area is titled 'Begin Voter Registration - Select a Language'. It contains a welcome message and instructions for users. There are two radio buttons for language selection: English / Inglés (selected) and Spanish / Español. A 'Proceed to Next Step' button is located at the bottom left. A Nevada Election Registrar logo is on the right side. At the bottom, there is a small disclaimer: '* Only Nevada residents who are attempting to register on their own behalf may use this system. Any unlawful access to this system, including automated attempts to register voters will be prosecuted.'

Voter Information Project (VIP), Google's Polling Location Gadget

The Voting Information Project (VIP) offers cutting edge technology tools to provide voters with access to customized election information to help them navigate the voting process and cast an informed vote. VIP works with election officials across the nation to ensure this information is official and reliable. The gadget answers voters' basic questions like "Where is my polling place?" "What's on my ballot?" and "How do I navigate the voting process?" VIP uses an open format to make data available and accessible, bringing 21st century technology to our elections and ensuring all Americans have the opportunity to cast an informed vote.

Biography of Secretary of State Ross Miller

Ross Miller's commitment to accountable, responsive and transparent government is reflected in his performance as Nevada's Secretary of State. Ross began the first of his two terms when he was first elected in January of 2007 as the youngest Secretary of State in the history of Nevada and the youngest in the nation at the time of his election. Miller was also unanimously selected by his peers to serve as President of the National Association of Secretaries of State for 2012-2013.

As someone who holds an MBA, Ross brings a business approach to the office, maximizing technology to bring the Secretary of State's office into the age of digital governance. During lean budget times in Ross' tenure, Ross made significant budget cuts including a staff reduction of nearly 10 percent. In spite of those cuts, Ross' leadership resulted in increased revenues, from \$104 million in FY 2007, to \$158 million in FY 2012, an increase of 52% in general fund revenue that helps pay for essential state services.

Services to constituents continue to grow in the Miller Administration including the nation's premier business portal, NVSilverflume, which provides a "one-stop-shop" for businesses conducting transactions with State agencies and municipalities. As Nevada's Chief Elections Officer, Ross' Multi-jurisdictional Elections Integrity Task Force has been identified as a national model by the FBI and the Department of Justice for responding to complaints or election or voter registration complaints. A former criminal prosecutor, Miller led an aggressive investigation in 2008 into the Association of Community Organizations for Reform Now (ACORN) that led to the filing of thirty-nine (39) felony criminal counts and eventual convictions against ACORN and two field directors. Miller also successfully fought for campaign finance and election reforms including Nevada's searchable campaign finance database "Aurora" which was the product of the most comprehensive legislative election package in Nevada's history.

Ross' additional duties as Secretary of State include board member of the Nevada Office of Economic Development; the Board of Prison Commissioners, State Board of Examiners, and the Tahoe Regional Planning Authority.

His leadership was recognized by the Aspen Institute when he was selected one of "24 Rising Stars" in American governance by the Aspen Institute and awarded the Rodel Fellowship in Public Leadership. He has also been recognized as one of the "Top 20 Under 40" by the Reno Gazette Journal, and one of the "Men to Watch" by the Nevada Press Women.

He holds dual post-grad degrees, a law degree and a MBA, from Loyola Marymount University in Los Angeles, as well as a Bachelor of Arts from Stanford University. While at Stanford, Ross served as a White House intern in the Clinton administration. He also attended the Monterrey Technological Institute in Monterrey, Mexico where he and his teammates won the Collegiate Mexican National Basketball championship. In 2012, Miller won his first sanctioned mixed martial arts fight in a light heavyweight bout and immediately retired from competition.

Born in Las Vegas, and raised in Carson City, Ross is the son of former Nevada Governor Bob Miller and First Lady Sandy Miller. Ross met his wife Lesley when they were both law students at Loyola Marymount. Lesley is an attorney with Kaempfer Crowell Renshaw Gronauer & Fiorentino. Ross and Lesley have two daughters, Cameron, 7, Geneva, 5, and son Reece, 1.

Biennial Budget: July 1, 2010 - June 30, 2012

	FY 2011			FY 2012		
	Appropriation	YTD Expenditures	Amount Reverted	Appropriations	YTD Expenditures	Amount Reverted
Personnel	\$ 8,487,516	\$ 7,919,624	\$ 567,892	\$ 8,439,582	\$ 7,864,337	\$ 575,245
Out of State Travel	\$ 16,352	\$ 16,352	\$ -	\$ 11,254	\$ 11,241	\$ 13
In State Travel	\$ 23,157	\$ 22,669	\$ 487	\$ 27,068	\$ 24,958	\$ 2,110
Operating	\$ 1,834,586	\$ 1,833,004	\$ 1,581	\$ 1,214,426	\$ 1,199,674	\$ 14,752
Equipment	\$ 1,824	\$ 1,824	\$ -	\$ -	\$ -	\$ -
Professional Services	\$ 218,683	\$ 218,683	\$ -	\$ 40,000	\$ 40,000	\$ -
Economic Development	\$ 305,000	\$ -	\$ 305,000	\$ 305,000	\$ 290,168	\$ 14,832
Election Expenses	\$ 283,667	\$ 283,135	\$ 532	\$ 204,762	\$ 47,203	\$ 157,559
Special Election				\$ 521,914	\$ 521,914	\$ -
ACPD	\$ 2,340	\$ -	\$ 2,340	\$ -	\$ -	\$ -
LWL - Fees	\$ 25,000	\$ 2,868	\$ 22,132	\$ 35,232	\$ 5,723	\$ 29,509
Microfilm Project	\$ 57,876	\$ 57,876	\$ -	\$ 6,738	\$ 2,372	\$ 4,366
Enforcement Services				\$ 50,000	\$ 42,053	\$ 7,948
State Business Portal	\$ 5,224,864	\$ 3,646,250	\$ 1,578,614	\$ 123,863	\$ 123,863	\$ -
Census	\$ 100	\$ 100	\$ -	\$ -	\$ -	\$ -
Information Services	\$ 487,354	\$ 484,190	\$ 3,164	\$ 437,929	\$ 424,986	\$ 12,943
Training	\$ 7,672	\$ 7,586	\$ 86	\$ -	\$ -	\$ -
ESOS Re-write	\$ 556,820	\$ 535,298	\$ 21,522	\$ -	\$ -	\$ -
ESOS Reporting System	\$ 508,958	\$ 508,958	\$ -	\$ 296,070	\$ 294,643	\$ 1,427
Victim Assistance	\$ 12,399	\$ 12,194	\$ 205	\$ 12,268	\$ 12,252	\$ 15
Inv & Enf O/S Travel	\$ 8,751	\$ 2,520	\$ 6,231	\$ 5,699	\$ -	\$ 5,699
Inv & ENF I/S Travel	\$ 36,341	\$ 27,537	\$ 8,804	\$ 17,842	\$ 12,607	\$ 5,235
Inv & Enf Operating	\$ 211,227	\$ 203,780	\$ 7,448	\$ 171,167	\$ 171,088	\$ 78
Inv & Enf Equipment	\$ 1,634	\$ -	\$ 1,634	\$ 502	\$ 440	\$ 63

Revenue: July 1, 2010 - June 30, 2012

Rev Source	Sub	FY 2011	FY 2012
GENERAL FUND SOURCES			
3105 - UCC			
	8A-Financing Stmt	\$656,270	\$682,500
	8B-Change	\$601,170	\$623,820
	8D-Fed Tax Liens	\$144,000	\$134,880
	8E-Certifications	\$3,180	\$3,160
	8F-Form Sales	\$167,380	\$158,110
	8G-Correction Stmt	\$1,020	\$3,360
	8H-Info Request	\$247,470	\$223,880
TOTAL - UCC		\$1,820,490	\$1,829,710
3129 - NOTARY			
	AP-Apostille	\$304,678	\$273,053
	CC-Certified Copies	\$319	\$1,396
	FT-Notary Fines	\$53,487	\$63,469
	NY-Notary	\$264,477	\$236,037
	AK-Acknowledgement	\$646	\$2,295
	JU-Jurat	\$270	\$3,115
	OW-Oath	\$0	\$46
TOTAL - NOTARY		\$623,877	\$579,410
3130 - COMMERCIAL RECORDINGS ^{1, 2}			
	AM-Amendments	\$3,984,764	\$3,624,930
	CC-Copies	\$2,405,489	\$2,283,860
	CH-Charters	\$22,100	\$12,500
	DS-Data Download	\$56,739	\$57,604
	MS-Miscellaneous	\$59,607	\$117,162
	NF-New Filings	\$5,323,550	\$4,972,450
	NR-Name Reservation	\$89,522	\$93,075
	RA-Comm'l RA Listing	\$73,602	\$65,663
	ST-Status	\$54,210,653	\$50,584,221
	SU-Summons ²	\$28,425	\$25,670
	TM-Trademark	\$127,270	\$118,790
	UR-Unrefundable	\$1,575,631	\$1,531,305
	XX - GF Expedite Fees	\$5,334,096	\$3,206,659
Subtotal - COMMERCIAL RECORDINGS		\$73,291,448	\$66,693,889
3131 - VIDEO SERVICE PROVIDER FILINGS			
	AM-Amendments / Certifi- cates	\$0	\$6,000
	NF-Applications	\$0	\$2,425
TOTAL - VIDEO SERVICE PROVIDER FILINGS		\$0	\$8,425
3113 - BUSINESS LI- CENSE			
	BL-Bus License	\$49,233,531	\$59,084,999
	LF-Late Fees	\$4,730,865	\$5,447,383
TOTAL - BUSINESS LICENSE		\$53,964,396	\$64,532,382
TOTAL - ALL COMMERCIAL RECORDINGS		\$127,255,844	\$131,234,696

Revenue: July 1, 2010 - June 30, 2012 *continued*

Rev Source	Sub	FY 2011	FY 2012
3152 - SECURITIES ⁴			
	BD-Broker/Dealer	\$571,650	\$555,300
	BR-Branch	\$179,450	\$157,550
	EP-Exemptions	\$4,393,000	\$4,460,750
	IF-Inspection Fees	\$63,117	\$55,630
	IR-Invest Advisor Rep	\$464,750	\$468,040
	IV-Invest Advisor	\$406,200	\$421,550
	MS-Miscellaneous	\$850	\$0
	NA-No Action Letter	\$8,200	\$2,800
	RE-Registration	\$308,734	\$402,250
	SA-Sports Agents	\$5,500	\$6,500
	SL-Sales Rep	\$17,640,125	\$18,004,625
TOTAL - SECURITIES		\$24,041,576	\$24,534,995
3168 - ELECTIONS			
	CF-Candidate Filing	\$0	\$14,200
	CS-Copies	\$20	\$0
	MS-Miscellaneous	\$0	\$450
	PE-Penalties	\$30,643	\$53,332
TOTAL - ELECTIONS		\$30,663	\$67,982
TOTAL GENERAL FUND SOURCES		\$153,772,450	\$158,246,793
NON - GENERAL FUND SOURCES			
1050 DOMESTIC PARTNERSHIP			
GL 3601	BW-Black and White Cert	\$938	\$1,381
GL 3601	CM-Ceremonial Cert	\$4,905	\$5,110
GL 3601	DP-Domestic Partnership	\$44,340	\$52,480
GL 3601	XX - Expedite Fees	\$0	\$1,400
GL 4326	Interest Earned	\$801	\$525
TOTAL - DOMESTIC PARTNERSHIP		\$50,984	\$60,896
1050 LWLB DONATIONS			
GL 4251	Donations Received	\$13,100	\$5,000
TOTAL - LWLB DONATIONS		\$13,100	\$5,000
1050 SECURITIES / MISC FEES ³			
GL 3722	Fees Received	\$2,614,385	\$288,304
TOTAL - SECURITIES / MISC FEES		\$2,614,385	\$288,304
TOTAL - B/A 1050		\$2,678,469	\$354,200
1051 HAVA			
GL 4326	Interest Earned	\$18,207	\$6,339
TOTAL - B/A 1051		\$18,207	\$6,339
1057 NOTARY TRAINING			
GL 4326	Interest Earned	\$1,563	\$795
GL 3895	Fees Received	\$190,185	\$204,708
TOTAL - B/A 1057		\$191,748	\$205,503
TOTAL NON - GENERAL FUND SOURCES		\$2,888,424	\$566,042
TOTAL YTD REVENUES		\$156,660,874	\$158,812,835

Contact Us

**Secretary of State
Nevada State Capitol Building
101 North Carson Street, Suite 3
Carson City, NV 89701
Phone: 775-684-5708
FAX: 775-684-5725*
Email: sosmail@sos.nv.gov**

**Fax not to be used for commercial recording/election report filings*

Specific fax numbers may be found under Commercial Recordings/Elections or other appropriate category

COMMERCIAL RECORDINGS DIVISION

Carson City

202 North Carson Street
Carson City, NV 89701
Phone: 775-684-5708
Fax: 775-684-5725
General Inquiries Email: sosmail@sos.nv.gov

Las Vegas (*accepts expedite requests only & State Business License*)

555 East Washington Avenue, Suite 5200
Las Vegas, NV 89101
Phone: 702-486-2880
Fax: 702-486-2888

ELECTIONS DIVISION

101 North Carson Street, Suite 3
Carson City, NV 89701-3714
Phone: 775-684-5705
Fax: 775-684-5718
Email: nvelect@sos.nv.gov

NOTARY DIVISION

101 North Carson Street, Suite 3
Carson City, NV 89701-3714
Phone: 775-684-5708
Email: nvnotary@sos.nv.gov

SECURITIES DIVISION

Las Vegas

555 East Washington Avenue, Suite 5200
Las Vegas, NV 89101
Phone: 702-486-2440
Fax: 702-486-2452
Email: nvsec@sos.nv.gov

Reno

500 Damonte Ranch Parkway, Suite 657-A
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Fax: 775-853-7961
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TRADEMARKS

555 East Washington Avenue, Suite 5200
Las Vegas, NV. 89101
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Fax: 702-486-2888

EXECUTIVE OFFICE

101 North Carson Street, Suite 3
Carson City, NV 89701-3714
Phone: 775-684-7195
Email: sosexec@sos.nv.gov

UNIFORM COMMERCIAL CODE (UCC)

200 North Carson Street
Carson City, NV 89701-4069
Phone: 775-684-7100